

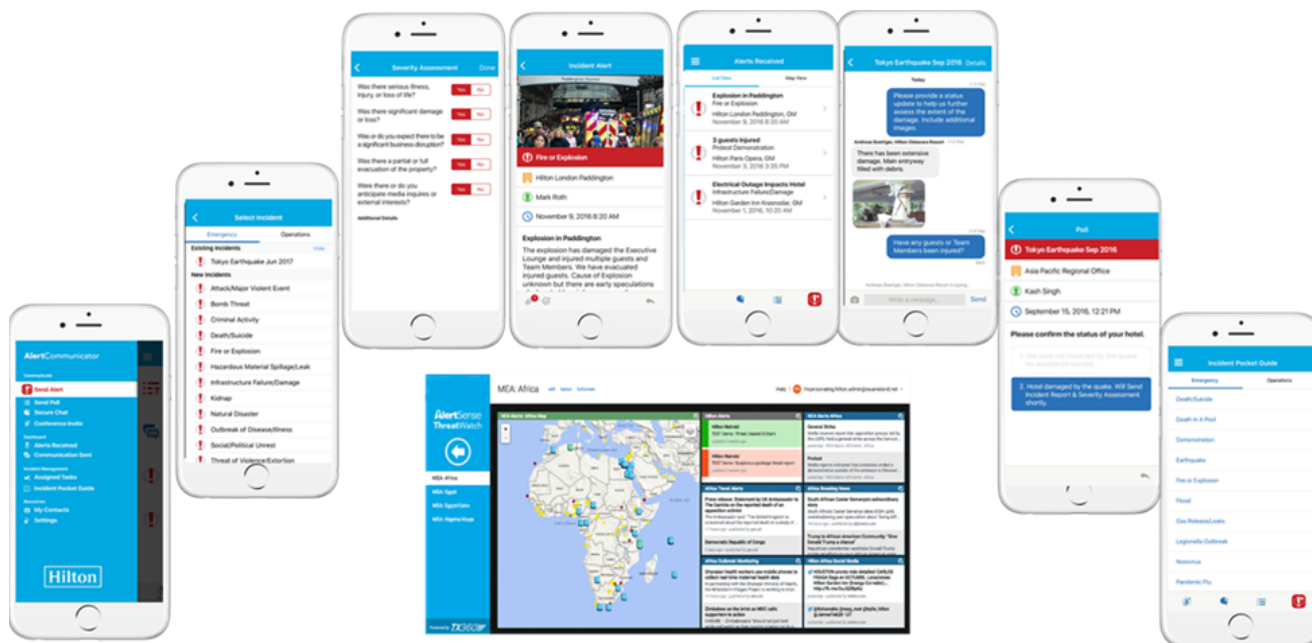
## COMPLIANCE, RISK & RESILIENCE: EMERGENCY & CRISIS PLANNING

With crisis situations increasingly inevitable, all organizations should have the ability for their global response teams to collaborate — around crisis recognition, incident characterization, response management, escalation and resolution — as a fundamental risk management capability.

The Compliance, Risk & Resilience team at B. Riley Advisory Services helps our clients evolve their crisis response from three-ring binders and desktop solutions to mobile systems that enable teams to be better connected, informed and protected. Multilingual collaboration, incident reporting, response management and geolocation alerting can now be accessed by teams, so operational downtime, as well as financial and reputational damage, are minimized during crisis situations. With these tools, response and recovery can take place quickly.

### SERVICES

Our credentialed emergency management experts provide comprehensive emergency/crisis management program reviews, compliance assessments and response platforms to companies, colleges and universities and government agencies globally. Plans can be developed as mobile collaboration systems with advanced location-based capabilities or as rich, integrated websites that provide a single desktop or mobile dashboard for managing all elements of the client's emergency management portfolio. These plans are remotely hosted in a highly secure and redundant Tier IV data center using multiple levels of access control with strong passwords, 2048-bit encryption and document-level security.



Because crises often seem to occur when responders and managers are not at their desktops, we provide global clients with a crisis management and collaboration platform that:

- Interacts securely with teams worldwide in 60 languages through in-stream translation
- Delivers situational awareness and scene intelligence to automated escalation paths based on incident type and role
- Expedites crisis response and recovery with actionable, role-based task lists and content
- Alerts all users and sites in geo-targeted impact areas and accounts for staff safety and status

This unique crisis management platform enables clients to transform business continuity and crisis management plans into actionable, role-based task lists. It places critical situational analysis information in the hands of client teams that can signal completion of their assigned tasks as well as add comments and local incident background. Crisis coordinators use the system to efficiently monitor completion of all task lists associated with an incident response and establish a time-stamped record for legal purposes.

## REPRESENTATIVE ENGAGEMENTS

### **Mobile Crisis Management Governance Model for a Fortune 500 Hospitality Company**

We worked with the global risk management function at a leading hotel operator to design, introduce and deploy a business continuity governance framework. The company used a mobile crisis management platform for identifying, documenting, addressing and resolving crisis situations at owned and franchise locations, regional and country offices, and US global HQ.

### **Global Crisis Management Platform for a Luxury Retailer**

We worked with an EU-based retail brand to develop its crisis management approach using our mobile solution. We were retained after we had reviewed and developed the business continuity plans for its Americas operation as well as conducted a cybersecurity and fraud assessment of its ecommerce applications.

### **Spanish-Language Emergency Management Plan for Mexican Spirits Distiller**

We created and technically supported a bilingual emergency response plan website for a leading alcoholic beverage manufacturer.

## THE B. RILEY DIFFERENCE

Our emergency and crisis management team has been developing advanced planning programs for public, private and education sector clients since 2004. Federal agencies such as FEMA, the National Academy of Sciences' Transportation Research Board, and state emergency management agencies trust us to develop custom standard emergency response & recovery software and program solutions. Our mobile crisis collaboration solution is the gold standard for practical, actionable incident management for companies with dispersed operations and global teams. Our tabletop exercises help clients refine their crisis response capabilities, and help their responder teams elevate incident response as a board-level element of risk management.

PRACTICE LEADER

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# ABOUT THE FIRM

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## ABOUT B. RILEY ADVISORY SERVICES

B. Riley Advisory Services provides specialty advisory services and solutions to complex business problems and board-level agenda items. Our team applies a unique mix of skill sets to address top-level, non-typical business challenges, such as developing compliance and risk systems for organizations, planning and executing a major acquisition or divestiture, pursuing a fraud investigation or corporate litigation, or managing through a business crisis or bankruptcy. In addition, we are a leading provider of valuation and appraisal services for asset-based lending applications.

Our team works with lenders, law firms, government entities, private equity sponsors and companies of all types. Our Advisory Services are a unique mix of Compliance, Risk & Resilience Services, Valuation and Appraisal Services, Restructuring and Turnaround Management, Operations Management Services, Forensic Accounting and Litigation Support and Transaction Support Services including Due Diligence and Quality of Earnings Reviews. B. Riley Advisory Services is a combination of the firms formerly known as GlassRatner Advisory & Capital Group and Great American Group.

## ABOUT B. RILEY FINANCIAL (“B. RILEY”)

B. Riley Financial, Inc. (NASDAQ: RILY) companies provide tailored financial solutions to meet the strategic, operational, financial advisory and capital needs of its clients through a diverse range of collaborative and complementary business capabilities.

B. Riley's diverse suite of business capabilities goes beyond traditional financial service offerings. By leveraging cross-platform expertise and assets, our business units are uniquely positioned to provide full service, collaborative solutions at every stage of the business life cycle and in all market conditions.